



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,655	09/07/2000	Kiminori Sugauchi	ASA-934

Mattingly Stanger & Malur PC  
Attorneys at Law  
104 East Hume Avenue  
Alexandria, VA 22301



## FORMALITIES LETTER



\*OC000000005500317\*

Date Mailed: 10/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

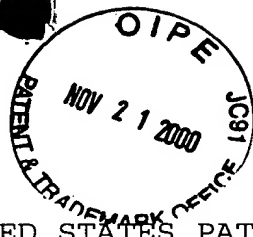
*Masoret Kanno*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/22/2000 ASG11TOM 00000023 09657655  
01 FC:105 130.00 IP



SECTOR

ASA-934

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

K. SUGAUCHI et al

ATTN: Manager,  
Applications Branch

Serial No. 09/657,655

Filed: September 7, 2000

For: NETWORK MANAGEMENT SYSTEM EQUIPPED WITH  
EVENT CONTROL MEANS AND METHOD

TRANSMITTAL OF LATE DECLARATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Responsive to the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION - FILING DATE GRANTED mailed  
October 24, 2000, Applicants submit herewith the executed  
Declaration and Power of Attorney, along with the required  
surcharge as set forth in 37 CFR 1.16(e).

Our check in the amount of \$130.00 is attached.

As required, a copy of the NOTICE of October 24, 2000 is  
enclosed herewith.

Please charge any additional fees which may be required,  
or credit any overpayment to our Deposit Account No. 50-1417.

Respectfully submitted,

*Shrinath Malur* 32,846  
Shrinath Malur  
Registration No. 34,663  
Attorney for Applicants

MATTINGLY, STANGER & MALUR  
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Date: November 21, 2000